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Patent Docket P2730P1C1

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ashkenazi, et al.

Serial No.: 09/941,992

Filed: August 28, 2001

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Assistant Commissioner of Patents

Washington, D.C. 20231

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assis and

Commissioner of Patents, Washington, D.C. 20231 on

November 16, 2001

Teri Renshaw

TRANSMITTAL LETTER

RECEIVED

FEB 2 6 2002

TECH CENTER 1600/2900

Sir:

Transmitted herewith are the following documents:

- 1. Preliminary Amendment;
- 2. Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: November 16, 2001

Elizabeth/M. Barnes, Ph.D.

Reg. No. 35,059

Telephone No. (650) 225-4563

09157





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Avi Ashkenazi, et al.

Serial No.: 09/941,992

Filed: August 28, 2001

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Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown

CONFIRMATION NO: 8312

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on $\sqrt{}$

January 4, 2002

Teri Renshav

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION B 2 6 2002

Box: MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

This is responsive to the <u>second</u> Notice to File Missing Parts - Filing Date Granted dated December 10, 2001. Please note that two Notice to File Missing Parts of Application have been received. As such, Applicant believes this Request was sent in error.

Transmitted herewith are the following documents:

- 1. Copy of the Response to Notice to File Missing Parts of Application filed by Certificate of Mailing on December 19, 2001.
- 2. A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001 and December 19, 2001.
- 3. Copy of Notice to File Missing Parts of Application dated December 6, 2001.
- 4. Copy of Notice to File Missing Parts of Application dated December 10, 2001

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

Applicant believes that no fee is due as this Request was issued in error. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Date: January 4, 2002

PATENT TRADEMARK OFFICE

Respectfully submitted, GENENTECH, INC

Bv:

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone No. (650) 225-4461

Revised (10/18/95)



ent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/941,992

08/28/2001

Avi J. Ashkenazi

P2730P1C1

CONFIRMATION NO. 8312

09157 GENENTECH, INC. 1 DNA WAY

SOUTH SAN FRANCISCO, CA 94080

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FEB 2 6 2002

FORMALITIES LETTER

OC000000007178145

TECH CENTER 1600/2900

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





n Re Application of:

Serial No: 09/941,992 Filed on: August 28, 2001

Mailed on: December 19, 2001

Docket No: P2730P1C1 By: Elizabeth M. Barnes

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped:

· Response to Missing Parts of Application.

Copy of duly executed Declaration in 6 parts, copy of Preliminary Amendment, and copy of post card as filed with the Patent Office by Certificate of Mailing on November 16, 2001.

Copy of Notice to File Missing Parts.

Fee of \$130 (authorized to charge to Deposit Account No. 07-0630).

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JAN 2 5 2002

Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Avi Ashkenazi, et al.

Serial No.: 09/941,992

Filed: August 28, 2001

For:

Secreted and Transmembrane Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown

CONFIRMATION NO: 8312

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

December 19, 2001

eri Renshaw

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPE

Box: MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

FEB 2 6 2002

TECH CENTER 1600/2900

Garnes

Sir:

This is responsive to the Notice to File Missing Parts - Filing Date Granted dated December 6, 2001. Transmitted herewith are the following documents:

- A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, 1. and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001.
 - Copy of Notice to File Missing Parts of Application. 2.

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630. $\underline{\mathbf{A}}$ duplicate of this sheet is enclosed.

> Respectfully submitted, GENENTECH, INC.

By:

Elizabeth M. Barnes, Ph.D.

Reg. No. 35,059

Telephone No. (650) 225-4563

Date: December 19, 2001

PATENT TRADEMARK OFFICE

NITED STATE

atent and Trademark Office

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/941.992

08/28/2001

Avi J. Ashkenazi

P2730P1C1

CONFIRMATION NO. 8312

09157 GENENTECH, INC. 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080

RECEIVED

FEB 2 6 2002



TECH CENTER 1600/2900

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY



In Re Application of:

Serial No: 09/941,992 Filed on: August 28, 2001 Mailed on: November 16, 2001 Docket No: P2730P1C1 By: Elizabeth M. Barnes

Reg. No.: 35,059



The following has been received by the U.S. Patent Office on the date stamped:

- Transmittal letter;
- · Preliminary Amendment;
- Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts; and
- · post card.

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